

Committee:	School Forum
Meeting Date:	13 th October 2014
Title:	Pro forma Sign off
Author:	Lea Minnican
Decision making / consultative / information:	Decision Making

What is the Forum being asked to decide?

1. The Forum is being asked to;
 - i. Agree the pro-forma for the Schools Block - Annex B
 - ii. Agree the pro-forma for Early Years – Annex C

Reason for recommendation

2. The Schools Forum is responsible for signing off the Suffolk funding formula for both Schools and Early Years.
3. Under the funding reforms the Dedicated Schools Grant (DSG) is split in to three blocks, Schools, High Needs and Early Years as discussed at previous forums and detailed at the budget briefings earlier this year.

Alternative options

4. Schools Forum can suggest alternative values for the pro-formas, however a copy has to be submitted to the EFA by the 31st October, so if changes are agreed, the forum will need to delegate authority to the Chair to sign off the pro-forma for submission to the EFA.

Who will be affected by this decision?

5. All Schools including Academies will be affected by the Schools block pro-forma.
6. All Early Years providers will be will be affected by the Early Years pro-forma.

Main body of the Report

Schools Block Pro-forma

7. Annex A shows the total allocation of DSG for Suffolk for 2015-16. It breaks down the funding to go to High Needs, Early Years and the Schools Block including De-delegated and centrally retained amounts.
8. The annex shows how much funding is directly top-sliced by the government and how the new funding has been allocated both recurrently and non-recurrently.

9. The bottom line shows the total allocation of funding available for distribution via the schools block and therefore the Suffolk pro-forma. This includes Academies and Free Schools, whose funding will be recouped from the Local Authority based on the Suffolk pro-forma and paid directly to the Academies and Free Schools. The amounts recouped from Suffolk do not equal the amounts paid to the Academies and Free Schools.
10. Suffolk received £10.5m funding from the government through the fairer funding allocation which is £1.3m more than the figures quoted in the July Forum paper. As discussed the whole of this additional amount has been added to the schools block and distributed through the AWPU.
11. The pro-forma is currently based on data for 2014-15, so reflects the pupils in the October 2013 census and the SOR adjustments for 2014-15. This will be revised for the January submission once the October 2014 census is received.
12. The only changes to 2015-16 from 2014-15 are to the AWPU values and the capping %.
13. All AWPU values have increased by £63 when compared to the 2014-15 pro-forma. This is less than quoted in the original July forum paper as the non-recurrent funding of £2.4m had to be removed before the fairer funding allocation is added on.
14. The % cap has also increased by 0.05% from 6.25% to 6.30%.

Early Years Pro-forma

15. The Early Years block is calculated as follows:

Early Years Block	2014-2015 Budgets
CC600 - Lowestoft, Waveney and Coastal	703,000
CC601 - Early Years & Childcare - Central & South Suffolk	515,950
CC602 - Early Years & Childcare - Ipswich	716,676
CC603 - Early Years & Childcare - West	421,374
CC604 - Central Commissioning Team	189,108
CC609 - Under 3 SEN	90,000
CC614 - 2 Year Old Funded Places	6,433,913
CC617 - Payments to Private Providers	16,600,040
CC623 - Childcare Starts Here and Sustainability	100,000
CC624 - 2 Year Old Development	780,950
GK004 - Nursery Schools ISB	337,956
GK009 - School Nursery Provision	6,982,383
Total	33,871,350
Fairer funding Allocation – Recurrent funding	600,000
Share of DSG Reserves – Non Recurrent Funding	182,000
Total 2015-16 Early Years Block	34,653,350

16. The pro-forma is currently based on data for 2014-15, so reflects the pupils in the October 2013 census. This will be revised for the January submission once the October 2014 census is received.

17. The only changes to 2015-16 from 2014-15 is to the base rate. The base rate has increased by 14p per hour. Of which 3p is non recurrent and will be removed in 2016-17.

Annex A

2015-2016 SCHOOL BUDGET - CALCULATION OF SCHOOLS BLOCK ALLOWANCE		
Description	Allocation £'s	Allocation £'s
DSG Total Gross Allocation As Per DfE DSG Allocation Spreadsheet		453,231,000
Schools Block	370,129,000	
High Needs Block	30,526,000	
Early Years Block	41,289,000	
In Year Additions	6,680,000	
Total DSG Allocation for Suffolk 2014-15	448,624,000	
High Needs Recoupment / Deduction		4,607,000
Total DSG Allocation for Suffolk 2014-15	448,624,000	448,624,000
Starting DSG Allocation		448,624,000
Less Early Years Block (LA Budget - Not DfE EY Block)	33,871,350	
Less High Needs Block (LA Budget - Not DfE HN Block)	42,569,070	
		76,440,420
Less Known Central Expenditure	9,123,080	
Less De Delegation	1,820,050	
		10,943,130
Less Suffolk Growth Funding		1,280,800
Recurrent NEW Funding (Fairer Funding)	6,000,000	
Recurrent NEW Funding	1,300,000	
Less Carbon Reduction (CRC)	-667,000	
Non Recurrent Funding (Part of £1.182m DSG Reserves)	880,000	
		7,513,000
Total Net School Block Funding for School Budget		367,472,650
Add Funding Back In For De Delegation		1,820,050
Total Gross School Block Funding for School Budget		369,292,700
Potential Free School Funding To Be Added And Recouped		5,878,862
Revised Total Including Free Schools		375,171,562
Figures correct as at 29th July 2014		

Annex B – See separate sheet.

Annex C – See separate sheet.

Local Authority Funding Reform Proforma

LA Name:

Suffolk

LA Number:

935

Pupil Led Factors

Reception uplift		No	Pupil Units			0.00	
Description		Amount per pupil		Pupil Units		Sub Total	Total
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£2,668.00		52,707.95		£140,624,811	£284,313,783
	Key Stage 3 (Years 7-9)	£3,872.00		20,549.09		£79,566,076	
	Key Stage 4 (Years 10-11)	£4,276.00		14,996.00		£64,122,896	
Description		Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total
2) Deprivation	FSM % Primary	£400.00		8,104.29		£3,241,717	£24,032,067
	FSM % Secondary		£400.00		4,440.53	£1,776,211	
	IDACI Band 1	£165.00	£165.00	5,012.89	3,167.66	£1,349,792	
	IDACI Band 2	£540.00	£540.00	3,500.42	2,132.98	£3,042,034	
	IDACI Band 3	£1,230.00	£1,230.00	5,112.97	3,244.22	£10,279,341	
	IDACI Band 4	£1,280.00	£1,280.00	1,563.06	898.99	£3,151,423	
	IDACI Band 5	£1,365.00	£1,365.00	583.70	288.05	£1,189,945	
IDACI Band 6	£1,605.00	£1,605.00	1.00	0.00	£1,605		
Description		Primary amount per pupil	Secondary amount per pupil	Eligible proportion of primary NOR	Eligible proportion of secondary NOR	Sub Total	Total
3) Looked After Children (LAC)	LAC X March 12	£925.00		378.19		£349,823	£2,171,777
4) English as an Additional Language (EAL)	EAL 1 Primary	£1,500.00		1,045.98		£1,568,975	
	EAL 1 Secondary		£1,500.00		168.65	£252,979	
5) Mobility	Pupils starting school outside of normal entry dates	£0.00	£0.00	700.56	2,578.67	£0	
Description		Weighting	Amount per pupil	Percentage of eligible Y1 and Y2-5 NOR respectively	Eligible proportion of primary and secondary NOR respectively	Sub Total	Total
6) Prior attainment	Low Attainment year 1	50.00%	£885.00	25.24%	12,124.61	£10,730,284	£22,086,423
	Low Attainment % Y2-5 78			22.36%			
	Secondary pupils not achieving (KS2 level 4 English or Maths)		£1,110.00		10,230.76	£11,356,139	

Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)
7) Lump Sum	£114,000.00	£114,000.00			£34,998,000
8) Sparsity factor	£100,000.00	£100,000.00	£100,000.00	£100,000.00	£2,128,876
9) Fringe Payments					£0
10) Split Sites					£59,000
11) Rates					£5,175,352
12) PFI funding					£0
13) Sixth Form					£0
14) Exceptional circumstances (can only be used with prior agreement of EFA)					

Total Funding for Schools Block Formula (excluding MFG Funding Total) (£)	£375,075,979
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15) Minimum Funding Guarantee (MFG is set at -1.5%)	£1,325,522
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)	Yes
Capping Factor (%)	6.30%
Scaling Factor (%)	100.00%
Total deduction if capping and scaling factors are applied	-£1,231,546
Total (£)	
MFG Net Total Funding (MFG + deduction from capping and scaling)	£93,976

Total Funding For Schools Block Formula	£375,169,955
% Distributed through Basic Entitlement	75.80%
% Pupil Led Funding	88.68%
Primary: Secondary Ratio	1 :

1. EYSFF (three and four year olds) Base Rate(s) per hour, per provider type	Description		Unit Value (£)			Unit Applied	Number of Units			Anticipated Budget (£)				Proportion of funding		
			PVI	Nursery School	Primary Nursery Class		PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL			
1	BASE RATE		£3.44	£3.44	£3.44	per hour	3,909,352	47,880	1,601,295	£13,448,170.88	£164,707.20	£5,508,454.80	£19,121,332.88	56.0%		
2. Supplements (please provide in written format a short explanation of your supplement payments)	Deprivation (Mandatory)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
		1	ACORN		£255.00			per child	3,626			£924,630.00	£7,980.00			£932,610.00
	Quality (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	9.0%	
		1	PVI Level3 (D)		0.20			per hour	947,934			£189,587.00				£189,587.00
		2	PVI Level 4 (C)		0.40			per hour	971,408			£388,563.00				£388,563.00
		3	PVI Level 5/6 (B)		0.60			per hour	1,014,744			£608,846.00				£608,846.00
		4	PVI Level Qts OR EYP (A)		0.70			per hour	975,266			£682,686.00				£682,686.00
	5	School Qts or EYP (A)			0.70	0.70	per hour		47,880	1,601,295		£33,516.00	£1,120,906.50		£1,154,422.50	
	Flexibility (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
		1														
Sustainability (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding		
	1															
3. Other formula factors and lump sums (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	3.0%		
	1	PVI @ school site		1,665.00			lump sum	82			£136,530.00				£136,530.00	
	2	PVI @ non school site		3,330.00			lump sum	214			£712,620.00				£712,620.00	
	3	Accredited childminder		345.00			lump sum	28			£9,660.00				£9,660.00	
4	Nursery school block			£114,000.00				1				£114,000.00		£114,000.00		
4. Additional funded free hours eg full time places (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding		
	1															
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA (3s AND 4s) :													£24,050,857.38	71%		

5. Two year old Base Rate(s) per hour, per provider type	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
1	All providers		£5.15			per hour	1,249,303			£6,433,910.00			£6,433,910.00	19.5%	
6. Two year old supplements (please provide a short explanation of your supplement payments)	Quality (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding
		1													
Other supplements (if applicable)	Description		PVI	Nursery School	Primary Nursery Class	Unit Applied	PVI	Nursery School	Primary Nursery Class	PVI	Nursery School	Primary Nursery Class	TOTAL	Proportion of funding	
	1														
TOTAL FUNDING FOR EARLY YEARS SINGLE FUNDING FORMULA FOR 2 YEAR OLDS:													£6,433,910.00	19.50%	

7. Early years contingency funding		Description	Anticipated total budget		Proportion of funding
2 Year Olds	1	No contingency held for 2 year olds	£0.00		
3 & 4 Years Old	2	Contingency held to meet the cost of fluctuating numbers in nursery classes	£528,300.00		1.5%
8. Early years centrally retained spending		Description	Anticipated total budget		Proportion of funding
2 Year Olds	1	No centrally retained spending on 2 year olds	£0.00		
3 & 4 Years Old	2	Provider support	£3,636,477.00		8.0%
TOTAL FUNDING FOR CENTRAL EXPENDITURE:			£3,636,477.00		